

Feb. 17. 2014 10:19AM Frischhertz Law

No. 1000 P. 2/17

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**TIER 2 PERSONAL FINANCIAL DISCLOSURE STATEMENT****(FOR CANDIDATES)**☒ ORIGINAL REPORT☐ AMENDED REPORT**This Report Covers Calendar Year:** 2013☒ I currently hold an office that would require me to file a Tier 2.1, or Tier 3 Personal Financial Disclosure Statement.  
As such, I have completed SCHEDULE L.**Office Sought** KENNER CITY COUNCIL, DISTRICT 5Incumbent: ☐ Yes ☒ NoDate of Election 4/5/2014Date Qualified 2/12/2014**Name of Filer** (print full name) DOMINICK F. IMPASTATO, IIIMailing Address 9 PLATT DR.City, State, Zip KENNER, LA 70065**Name of Spouse** (print full name) SHANNON W. IMPASTATOSpouse's Occupation SELF EMPLOYEDSpouse's Principal Business Address 9 PLATT DR.City, State, Zip KENNER, LA 70065**Check all that apply:**☐ I have filed my state income tax return for the previous year.☒ I have filed for an extension of my state income tax return for the previous year.☐ I have filed my federal income tax return for the previous year.☒ I have filed for an extension of my federal income tax return for the previous year.**NOTE:** La. R.S. 18:1495.7 and 42:1124.2 does not provide candidates the opportunity to request an extension in filing their personal financial disclosure statements.**Certification of Accuracy**

I do hereby certify, after having been duly sworn, that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge, information, and belief.

Signature of Filer

Sworn to and subscribed before me this 17 day of February, 2014.\_\_\_\_\_  
Notary Public (print name)\_\_\_\_\_  
Notary Public (signature)**MARC L. FRISCHHERTZ****NOTARY PUBLIC**

ID#

**BAK # 29194**

Date Commission Expires

**STATE OF LOUISIANA****MY COMMISSION IS FOR LIFE** state.la.us

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule A: Employment Information**☐ Check if not applicable☒ Filer ☐ Spouse ☒ Full-Time ☐ Part-Time

Job Title: ATTORNEY

Name of Employer: FRISCHHERTZ, POUILLARD, FRISCHHERTZ, &amp; IMPASTATO, LLC

Address: 1130 ST. CHARLES AVE.

City, State, Zip: NEW ORLEANS, LA 70130

Job Description: ATTORNEY -- LEGAL CONSULTATION, TRIAL, LITIGATION, AND LEGAL SERVICES

☐ Filer ☒ Spouse ☐ Full-Time ☒ Part-Time

Job Title: MARKETING CONSULTANT AND CONTRACTING SPECIALIST

Name of Employer: SWI CONSULTING, LLC

Address: 9 PLATT DR.

City, State, Zip: KENNER, LA 70065

Job Description: MARKETING ASSISTANCE AND CONTRACT NEGOTIATION FOR HEALTH CARE PROVIDERS AND INSURANCE BROKERS

☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time

Job Title:

Name of Employer:

Address:

City, State, Zip:

Job Description:

☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time

Job Title:

Name of Employer:

Address:

City, State, Zip:

Job Description:

- You are required to disclose employment information related to both you and your spouse.
- List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule B: Positions - Business**☐ Check if not applicable☒ Filer ☐ Spouse ☐ BothAmount of Interest (amount exceeds 10%): 20 %Name of Business: FRISCHHERTZ, POUILLIARD, FRISCHHERTZ, & IMPASTATO, LLCAddress: 1130 ST. CHARLES AVE.City, State, Zip: NEW ORLEANS, LA 70130Business Description: LAW FIRMNature of Association: MEMBER OF LLC (ALSO KNOWN AS A "PARTNER" IN THE LAW FIRM)☐ Filer ☒ Spouse ☐ BothAmount of Interest (amount exceeds 10%): 100 %Name of Business: SWI CONSULTING, LLCAddress: 9 PLATT DR.City, State, Zip: KENNER, LA 70065Business Description: MARKETING AND CONTRACT CONSULTINGNature of Association: SOLE MEMBER☐ Filer ☐ Spouse ☒ BothAmount of Interest (amount exceeds 10%): 50 %Name of Business: IMPASTATO RENTAL PROPERTIES, LLCAddress: 9 PLATT DR.City, State, Zip: KENNER, LA 70065Business Description: RENTAL PROPERTY OWNERSHIP AND MANAGEMENT OF PROPERTIES OWNED BY MEMBERS AND LLCNature of Association: MEMBER OF LLC

\* You are required to complete SCHEDULE B if you or your spouse is a director, officer, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

\* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule C: Positions - Nonprofit**☐ Check if not applicable☒ Filer ☐ SpouseName of Organization: ARCHBISHOP RUMMEL ALUMNI ASSOCIATION, INC.Address: 1901 SEVERN AVE.City, State, Zip: METAIRIE, LA 70001Nature of Association: DIRECTOR (MEMBER OF BOARD OF DIRECTORS)Description of Organization: CHARITABLE SUPPORT GROUP FOR FUNDRAISING AND EDUCATIONAL SUPPORT OF ARCHBISHOP RUMMEL HIGH SCHOOL☒ Filer ☐ SpouseName of Organization: RUMMEL ALUMNI ENDOWMENT FUNDAddress: 1901 SEVERN AVE.City, State, Zip: METAIRIE, LA 70001Nature of Association: DIRECTOR (MEMBER OF BOARD OF DIRECTORS)Description of Organization: ACADEMIC SCHOLARSHIP FUND FOR STUDENTS AND FAMILIES IN NEED AND FOR ACADEMIC ACHIEVEMENT AT ARCHBISHOP RUMMEL HIGH SCHOOL☒ Filer ☐ SpouseName of Organization: ACACIA FRATERNITY ALUMNI ASSOCIATIONAddress: 9 PLATT DR.City, State, Zip: KENNER, LA 70065Nature of Association: MEMBER OF BOARD OF DIRECTORSDescription of Organization: NON-PROFIT ORGANIZATION FOR FUNDRAISING FOR THE FACILITY NEEDS OF ACACIA FRATERNITY AND FOR RENOVATIONS OF THE CHAPTER HOUSE ON CAMPUS OF LOUISIANA STATE UNIVERSITY, AND ALSO FOR SOCIAL GATHERINGS OF ALUMNI OF THE FRATERNITY.

\*You are required to complete SCHEDULE C if you or your spouse is a director or officer of a nonprofit agency.  
Revised January 2013

**LOUISIANA BOARD OF ETHICS**

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**Schedule C: Positions - Nonprofit**☐ Check if not applicable☒ Filer ☐ SpouseName of Organization: ACACIA FOUNDATION OF LOUISIANA STATE UNIVERSITY, INC.Address: 3733 W. LAKESHORE DR.City, State, Zip: BATON ROUGE, LA 70803Nature of Association: MEMBER OF BOARD OF DIRECTORSDescription of Organization: NON-PROFIT CORPORATION HANDLING THE FINANCIAL AFFAIRS AND FACILITY NEEDS OF THE CHAPTER HOUSE OF LSU STUDENTS LIVING AT THE ON CAMPUS HOUSING FACILITY OF ACACIA FRATERNITY☒ Filer ☐ SpouseName of Organization: WOODLAKE BOOSTER CLUBAddress: 131 W. ESPLANADE AVE.City, State, Zip: KENNER, LA 70065Nature of Association: MEMBER OF BOARD OF DIRECTORSDescription of Organization: NON-PROFIT CORPORATION OPERATING THE BOOSTER CLUB OF WOODLAKE PLAYGROUND, INCLUDING DISTRIBUTION OF UNIFORMS TO CHILDREN, EQUIPMENT NEEDS OF CHILDREN, ETC.☒ Filer ☐ SpouseName of Organization: ST. PHILIP NERI SCHOOL, SCHOOL BOARDAddress: 6500 KAWANEE DR.City, State, Zip: METAIRIE, LA 70003Nature of Association: PRESIDENT OF SCHOOL BOARD AND MEMBER OF BOARDDescription of Organization: ADVISORY AND OVERSIGHT BOARD FOR FINANCIAL AFFAIRS AND OPERATIONS OF ST. PHILIP NERI CATHOLIC SCHOOL

**LOUISIANA BOARD OF ETHICS**

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**Schedule D: Income from the State, Political**☐ Check if not applicable **Subdivisions, and/or Gaming Interests**☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): IMPASTATO RENTAL PROPERTIES, LLCName of Income Source: HOUSING AUTHORITY OF NEW ORLEANSAddress: 4100 TOURO ST.City, State, Zip: NEW ORLEANS, LA 70122Amount of Income (exact dollar amount): \$ 5,134.00☐ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Name of Business (if applicable): \_\_\_\_\_

Name of Income Source: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Amount of Income (exact dollar amount): \$ \_\_\_\_\_

☐ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Name of Business (if applicable): \_\_\_\_\_

Name of Income Source: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Amount of Income (exact dollar amount): \$ \_\_\_\_\_

\* You are required to complete Schedule D if you or your spouse received income from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* The definitions for (and examples of) political subdivision, gaming interest, and business are found in the Instructions Section of this form.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income Received from  
Employment**☐ Check if not applicable☒ Filer ☐ Spouse ☒ Full-Time ☐ Part-TimeName of Source of Income: FRISCHHERTZ, POUILLIARD, FRISCHHERTZ, & IMPASTATO, LLCAddress: 1130 ST. CHARLES AVE.City, State, Zip: NEW ORLEANS, LA 70130Nature of Services Rendered  
(pursuant to such employment): LEGAL SERVICES AS ATTORNEYAmount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☒ Category IV (more than \$100,000)☐ Filer ☒ Spouse ☐ Full-Time ☒ Part-TimeName of Source of Income: SWI CONSULTING, LLCAddress: 9 PLATT DR.City, State, Zip: KENNER, LA 70065Nature of Services Rendered  
(pursuant to such employment): MARKETING CONSULTING AND HEALTH CARE CONTRACTINGAmount of Income: ☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time

Name of Source of Income: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of Services Rendered  
(pursuant to such employment): \_\_\_\_\_Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

\* You are required to complete Schedule E to disclose the income received by you or your spouse for each full-time or part-time employment position held.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* Income that is reported on Schedule D does not have to be restated on Schedule E.

\* Income received through self-employment is reported on Schedule F.

**LOUISIANA BOARD OF ETHICS**

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**Schedule F: Income Received from  
Business Interests**☐ Check if not applicable**AGGREGATE AMOUNT OF INCOME RECEIVED FROM BUSINESS INTERESTS:**☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☒ Category IV (more than \$100,000)☒ Filer☐ SpouseName of Business: FRISCHHERTZ, POULLIARD, FRISCHHERTZ, & IMPASTATO, LLCAddress: 1130 ST. CHARLES AVE.City, State, Zip: NEW ORLEANS, LA 70130

Nature of services rendered OR

reason income was received: PARTNERSHIP DISTRIBUTIONS RECEIVED FROM LAW FIRM☐ Filer☒ SpouseName of Business: SWI CONSULTING, LLCAddress: 9 PLATT DR.City, State, Zip: KENNER, LA 70065

Nature of services rendered OR

reason income was received: MARKETING CONSULTING FEES EARNED☒ Filer☐ SpouseName of Business: IMPASTATO RENTAL PROPERTIES, LLCAddress: 9 PLATT DR.City, State, Zip: KENNER, LA 70065

Nature of services rendered OR

reason income was received: RENTAL REVENUE RECEIVED ON RENTAL PROPERTIES

\*You are required to complete SCHEDULE F if you or your spouse received income from a business interest.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\*Income reported on SCHEDULE D or E does not have to be restated on SCHEDULE F.



**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule F: Income Received from  
Business Interests**☐ Check if not applicable**AGGREGATE AMOUNT OF INCOME RECEIVED FROM BUSINESS INTERESTS:**

- ☐ Category I (less than \$5,000)    ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000)    ☐ Category IV (more than \$100,000)

☐ Filer    ☒ SpouseName of Business: IMPASTATO RENTAL PROPERTIES, LLCAddress: 9 PLATT DR.City, State, Zip: KENNER, LA 70065Nature of services rendered OR  
reason income was received: RENTAL REVENUE RECEIVED FROM RENTAL PROPERTIES☐ Filer    ☐ Spouse

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of services rendered OR  
reason income was received: \_\_\_\_\_☐ Filer    ☐ Spouse

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of services rendered OR  
reason income was received: \_\_\_\_\_

\*You are required to complete SCHEDULE F if you or your spouse received income from a business interest.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\*Income reported on SCHEDULE D or E does not have to be restated on SCHEDULE F.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule G: Other Income**☒ Check if not applicable (any other income that exceeds \$1,000 from each source)☐ Filer ☐ Spouse

Description of Income: \_\_\_\_\_

Nature of Services Rendered or  
Reason Income was Received: \_\_\_\_\_Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse

Description of Income: \_\_\_\_\_

Nature of Services Rendered or  
Reason Income was Received: \_\_\_\_\_Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse

Description of Income: \_\_\_\_\_

Nature of Services Rendered or  
Reason Income was Received: \_\_\_\_\_Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

\*You are required to complete SCHEDULE G if you or your spouse received any other type of income that exceeded \$1,000 from any one source.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\*You are not required to report income that is derived from child support and alimony payments contained in a court order, or from disability payments from any source.

\*Income that is reported on SCHEDULE D, E, or F does not have to be restated on SCHEDULE G.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule H: Immovable Property**

(a property that exceeds \$2,000 in value)

☐ Check if not applicable☐ Filer ☐ Spouse ☒ Both

## Location of Property

Country: USA

State: LA

Parish/County: JEFFERSON

## Description of Property:

HOME: 9 PLATT DR. KENNER, LA 70065

Fair Market Value ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
or Use Value: ☐ Category III (\$25,000-\$100,000) ☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ Both

## Location of Property

Country: USA

State: LA

Parish/County: JEFFERSON

## Description of Property:

CO-OWNED WITH FATHER(DOMINICK F. IMPASTATO JR.): 4969 JOAN MARIE DR. BARATARIA, LA 70036

Fair Market Value ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
or Use Value: ☐ Category III (\$25,000-\$100,000) ☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ Both

## Location of Property

Country: USA

State: LA

Parish/County: JEFFERSON

## Description of Property:

IMPASTATO RENTAL PROPERTIES: 717 N. SIBLEY ST. METAIRIE, LA 70003

Fair Market Value ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
or Use Value: ☒ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

\* You are required to disclose the location by country, state, and parish/county.

\* You are required to provide a brief description of the immovable property and its fair market value or use value (determined by the assessor for purposes of ad valorem taxes.)

Revised January 2013

Form 416B

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule H: Immovable Property**

(a property that exceeds \$2,000 in value)

☐ Check if not applicable☐ Filer ☐ Spouse ☒ Both

## Location of Property

Country: USA

State: LA

Parish/County: ORLEANS

## Description of Property:

RENTAL PROPERTY: 125-127 SPENCER ST. NEW ORLEANS, LA 70124

Fair Market Value

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)

or Use Value:

☐ Category III (\$25,000-\$100,000)☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ Both

## Location of Property

Country: USA

State: LA

Parish/County: ORLEANS

## Description of Property:

IMPASTATO RENTAL PROPERTIES: 3729-3731 WILLOW ST. NEW ORLEANS, LA 70115

Fair Market Value

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)

or Use Value:

☐ Category III (\$25,000-\$100,000)☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Both

## Location of Property

Country:

State:

Parish/County:

## Description of Property:

Fair Market Value

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)

or Use Value:

☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)

\* You are required to disclose the location by country, state, and parish/county.

\* You are required to provide a brief description of the immovable property and its fair market value or use value (determined by the assessor for purposes of ad valorem taxes.)

**LOUISIANA BOARD OF ETHICS**

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**Schedule I: Investment Holdings**☒ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☐ Both

Name of Security:

ALL SECURITIES AND INVESTMENTS FALL WITHIN EXCEPTIONS ENUMERATED BELOW

Description of Security:

☐ Filer ☐ Spouse ☐ Both

Name of Security:

Description of Security:

☐ Filer ☐ Spouse ☐ Both

Name of Security:

Description of Security:

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

\* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, or cash/cash equivalent investments.

\* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

**LOUISIANA BOARD OF ETHICS**

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**Schedule J: Transactions**☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: Apr 30, 2013

Description of Transaction:

PURCHASE OF RENTAL PROPERTY AT 3729-3731 WILLOW ST. NEW ORLEANS, LA 70115 BY IMPASTATO RENTAL PROPERTIES, LLC

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: Oct 31, 2013

Description of Transaction:

PURCHASE OF RENTAL PROPERTY AT 717 N. SIBLEY ST. METAIRIE, LA 70003 BY IMPASTATO RENTAL PROPERTIES, LLC

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☒ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Both

Transaction Date: \_\_\_\_\_

Description of Transaction:

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)

\* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

\* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368  
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**Schedule K: Liabilities**☒ Check if not applicable

(a liability that exceeds \$10,000)

☐ Filer ☐ Spouse

Name of Creditor: ALL LIABILITIES FALL WITHIN ENUMERATED EXCEPTIONS BELOW

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Name of Guarantor (If applicable): \_\_\_\_\_

☐ Filer ☐ Spouse

Name of Creditor: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Name of Guarantor (If applicable): \_\_\_\_\_

☐ Filer ☐ Spouse

Name of Creditor: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Name of Guarantor (If applicable): \_\_\_\_\_

☐ Filer ☐ Spouse

Name of Creditor: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Name of Guarantor (If applicable): \_\_\_\_\_

\* You are required to complete SCHEDULE K if you or your spouse owes any liability which exceeds \$10,000 on the last day of the reporting period.

\* You are not required to disclose any loan secured by movable property, if such loan does not exceed the purchase price of the movable property which secures the loan.

\* You are not required to disclose any liability, secured or unsecured, which is guaranteed by you or your spouse for a business in which you or your spouse owns any interest, provided that the liability is in the name of the business and, if the liability is a loan, that you or your spouse does not use proceeds from the loan for personal use unrelated to business.

\* You are not required to disclose any loan by a licensed financial institution which loans money in the ordinary course of business.

\* You are not required to disclose any liability resulting from a consumer credit transaction as defined in R.S. 9:3516(13).

\* You are not required to disclose any loan from an immediate family member, unless such family member is a registered lobbyist, or his principal or employer is a registered lobbyist, or he employs or is a principal of a registered lobbyist, or unless such family member has a contract with the State.

\* "Consumer Credit Transaction" means a consumer loan or a consumer credit sale but does not include a motor vehicle credit transaction made pursuant to R.S. 6:969.1 et seq, R.S. 9:3516(13).

**LOUISIANA BOARD OF ETHICS**

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**Schedule L: Other Offices/Positions Held**☐ Check if not applicable

<b>Name of Office/Position:</b> COMMISSIONER FOR NEW ORLEANS REGIONAL TRANSIT AUTHORITY (REPORT DUE 5/2014)
<b>Name of Office/Position:</b>
<b>Name of Office/Position:</b>
<b>Name of Office/Position:</b>
<b>Name of Office/Position:</b>
<b>Name of Office/Position:</b>
<b>Name of Office/Position:</b>
<b>Name of Office/Position:</b>
<b>Name of Office/Position:</b>
<b>Name of Office/Position:</b>

\*You are required to complete SCHEDULE L if you hold any other office or position which would require you to file a personal financial disclosure statement under La. R.S. 42:1124.2.1 or 42:1124.3.

Revised January 2013

Form 416B

[www.ethics.state.la.us](http://www.ethics.state.la.us)